



08-15-01

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PATENT  
Attorney Docket No.: 3521.152

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

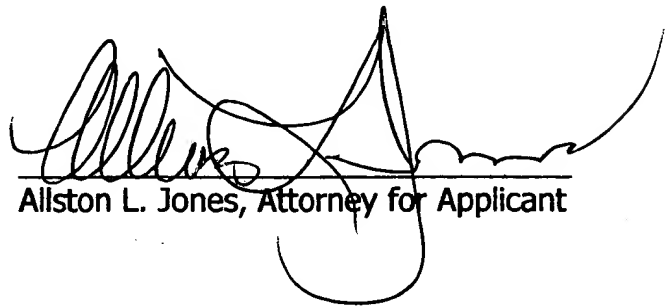
In re Application of )  
SHIN-YEE LU ) Group Art Unit: 2621  
Serial No.: 09/855,485 ) Examiner:  
Filed: May 14, 2001 )

For: MACHINE-INDEPENDENT ALIGNMENT SYSTEM AND METHOD

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this paper, and the listed enclosures, and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 with the Express Mail Label No. EL700013184US on August 13, 2001.

  
Allston L. Jones, Attorney for Applicant

Sir:

**TRANSMITTAL AND RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

In response to the Notice To File Missing Parts of Nonprovisional Application, dated July 13, 2001, there is enclosed herewith:

1. Transmittal and Response to Notice To File Missing Parts of Nonprovisional Application, in duplicate;
2. Part 2 of Notice to File Missing Parts of Nonprovisional Application;
3. Signed combined Declaration and Power of Attorney form;
4. Check in the amount of \$130, for Missing Parts;
5. Assignment;
6. Assignment Recordation Form;
7. Check in the amount of \$40 for recording Assignment;
8. Information Disclosure Statement Under 37 C.F.R. 1.56 and 1.97;
9. PTO-1449;
10. Copy of 1 Reference as listed on 1449 form; and
11. Postcard.

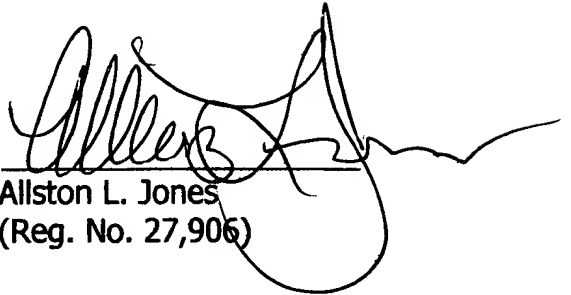
With these materials it is believed that the filing requirements have now been fully complied with.

The Commissioner is hereby authorized to charge or to credit any fee deficiency to **Deposit Account No. 16-1331.**

Favorable action is respectfully requested.

Respectfully submitted,  
SHIN-YEE LU

Date: August 13, 2001

by   
Allston L. Jones  
(Reg. No. 27,906)

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Attorney Docket No. 3521.152



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/855,485	05/14/2001	Shin-Yee Lu	3521.152 (ALJ)

CONFIRMATION NO. 9749

## FORMALITIES LETTER



\*OC000000006292942\*

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Date Mailed: 07/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*5/14/01*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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